L18/ RECEIVED Appendix B

#### State of South Dakota

DEC 0 6 2002

## Candidate's or Committee's Report of Receipts and Expenditures

Candidates and candidate committees: File in the office where you filed your nominating petition. PACs, political party, ballot question and other committees: File with Elections Department, Secretary of State's Office, 500 E Capitol Ave, Pierre, SD 57501-5070 See pages 9 & 10 of the Guideline Book for specific instructions on completing this report. Name of Candidate or Committee 9/incent Surenson Complete Mailing Address 627 West Rennett Name of Person Making Report Vincat Lucian If you are a candidate, what office are you seeking Italy Novel 9. If you are a ballot question committee, indicate which measure(s) the committee was involved with during the reporting period and whether the measure was supported or opposed. Type of Report (See pages 4 & 5 of Guideline Book) TerminaTin Rekor For Reporting Period Ending (See pages 4 & 5 of Guideline Book) The following verification must be completed before submitting report. VERIFICATION OF PERSON MAKING REPORT Vincent Swenson (print name legibly), certify that I have examined this report and to the best of my knowledge and belief it is true, correct and complete. Signature of Committee Treasurer or Chairperson Revised July 2001

SECRETARY OF STATE

Name o	f Candidate	or Committee	Vincent	Swenson	_
For th	e reporting	period ending_	12-31-02		

#### Schedule A - Direct Contributions

This schedule is used for reporting all direct contributions. You must keep a record of all contributors, but for this report you may combine all contributions of \$100 or less from individuals and the same from political parties and enter these sums as unitemized contributions on their respective lines below and on the next page. Any contribution of more than \$100 or aggregate during a calendar year from an individual or political party and all contributions from PAC's must be entered as a separate item (itemized) giving the amount, name, address and place of employment (if applicable) of the contributor. Each type of contributor has their own section for itemization. This schedule may be duplicated if you need more space, or you may attach additional sheets of paper.

temized Contributi	ons from Individuals	Place of Employm	ent
Name	Residence Address	(Name of Employe	
aul & Diane Swaren	122 S. Cove / S.D. 571	104 Servall Lowell	\$ 179.93
Kuya Thomson	4576 W. antaloas	or Royal Fork	\$ 10000
Bill lanklow	500 E. Capital June 5.0: 570	40	\$ 250 go
lin Putnam	P.O. Bex 990 Ormour S.D. 57313	angi busines / La	le \$ 100 00
Vanessa Sevenson	122 5, Corell 9, 5700	og Waterford all Sai	ta \$ 100 00
Phyling Heineman	2005 S. Phyllips	Williams Incurs	re \$ 250 00
Breg Haireman			\$
			\$
			\$
			\$
			\$
			\$
			\$
			\$
			\$
			\$
			\$
A-10.0 = 14.			s

Name of Candidate or Committee _	Vincent Swenson	
For the reporting period ending_	•	
	ect Contributions (continued)	
Unitemized Contributions from Po	litical Parties:	*\$ <b>D</b>
Itemized Contributions from Poli	tical Parties	
Party Name	Address	
Republican Party	P.O. Box 1999 57501	\$ 45 p 20
		\$ 75 V = 5 \$ \$ 450 00
Total of Itemized Contributions	from Political Parties:	*\$ 450 -00
Itemized Contributions from Poli (All contributions from P PAC Name	=	<b>#</b> )
Cotel	P. O Box 57 Pierre S. O	\$ 150°
		s
		\$
		s
		\$
		\$
		\$
		\$
		\$
		\$
		\$
		 \$
		\$
		\$
		\$
Total Itemized Contributions fro	m Political Action Committees	*\$ 150 00
Total of All Direct Contribution	s (Sum of all lines with an *	1: *\$ 150 00 1) \$ 1,809:42

Name of Candidate	or Committee	incent Suceso.	<b>L</b>
For the reporting	g period ending /ζ	-3/- 02	
	Schedule B - Fund	-Raising Events Pro	ceeds
derived from each event	. If a contributor gives mo	ise money for the candidate ore than \$100 or their contri ar, those contributions must	and the net proceeds bution results in their be itemized on Schedule A.
Type of Event		Net Proceeds	
	<del>.</del>		
			Total: \$
	Schedule C - I	n Kind Contribution	S
Report all non-cash cont exceeds \$100, the name	ributions of goods or servior of the contributor, residen	ces and the estimated fair m ce address and place of emp	narket value. If the value ployment must be reported.
Nature of Non-Cas	h Contribution	Estimated Value	Name of Contributor
	•		
			~
			Total: \$
		D Other !	
Schedule D - Other Income			

Use this schedule to report any refunds, interest earned or other income which is not a direct contribution.

Source of Income

Amount

Total: \$

Name of Candidate or Committee Vince, T Swenson				
For the reporting period ending 12~3/~0~				
Schedule E - Expenditures				
This schedule is to report all expenditures relating to a candidate's campaign. Line items have been provided for reporting common expenses. All other expenses should be listed. All contributions to candidates and committees must be listed individually.				
Item Amount Contributions Made to Candidates and Committees				
Advertising 18				
Consulting				
Postage 475-54				
Printing 642 97				
Rent				
Salaries				
Telephone 2				
Travel				
Utilities D				
Other Expenses:				
voter list 93 46				
voter list 93 46				
food 41				

Total Expenditures: \$2,570

Name	of	Candidate	or Committee _	Vincent Swenson
For	the	reporting	period ending_	12-31-04

#### Schedule F - Debts and Obligations

This schedule is to report all of the candidate's campaign obligations which are unpaid at the end of the reporting period. If a service has been contracted but not billed, estimate the amount of the obligation.

Owed To

Purpose

Amount

Total Obligations: \$\_\_\_\_\_

Name	of Candidate or Committee Vincent Swewen	
For	the reporting period ending /2 ~3/~0.72	
	Summary Page	
This Pleas	summary sheet will give a brief outline of all campaign finance activity during this te transfer all totals from the schedules previously completed.	reporting period.
1.	Amount on hand, if any, at beginning of reporting period	\$ 2
2.	Receipts	
	Schedule A - Direct Contributions \$ 1809 41	
	Schedule B - Fund-Raising Events \$	
	Schedule C - In Kind Contributions \$	
	Schedule D - Other Income	
	Total of all receipts \$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	40 4 110
3.	Total Monetary Receipts (A+B+D)	\$ 1809 43
4.	Candidate's Personal Contribution to Own Campaign	\$ 76138
5.	Monetary Loans to Candidate or Committee During Reporting Period	\$
6.	Monetary Loans Repaid During Reporting Period	\$ 2
7.	Expenditures - Schedule E	\$ 25 70

8.

Unpaid Obligations - Schedule F

9. Amount on hand at the close of this reporting period. This should equal lines (1+3+4+5)-(6+7)

Appendix C

Secretary of State

State Capitol, Ste 204 500 East Capitol Avenue Pierre, South Dakota 57501-5070 sdsos@state.sd.us



JOYCE HAZELTINE
Secretary of State

TOM LECKEY
Deputy

### State of South Dakota

# Voluntary Statement of Organization for a Political Action or Ballot Question Committee

State law does not require new political action (PAC) or ballot question committees to register with the Secretary of State. Law does however require these committees to file campaign finance reports periodically following the commencement of political activity. This voluntary registration form will give the Secretary of State the information necessary to send your committee the proper reporting forms prior to the deadline for filling.

FULL NAME OF COMMITTEE:
MAILING ADDRESS:
COMMITTEE TREASURER:
PHONE:
TYPE OF COMMITTEE (PAC or Ballot Question):  If you are a ballot question committee, please also indicate the measure which you are supporting or opposing.
Date:Signature of person submitting voluntary registration

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http://www.state.sd.us/sos/sos.htm

Administration (605) 773-3537 Fax (605) 773-6580 TDD (605) 773-5010 Corporations (605) 773-4845 Fax (605) 773-4550 Uniform Commercial Code (605) 773-4422 Fax (605) 773-4550

# State of South Dakota Statement of Financial Interest Candidate for Public Office

File statement in the office where your nominating petition or convention nomination certification was filed.

Please read information on reverse side before completing	g this form.
1. Name Vincent Swenson	
2. Address 627 W. Beneft Fy	
3. Office Sought Itale House Dist 15	
4. What is your occupation/profession?	l officer
5. List any enterprise which accounted for more than ten percent of, or contributed more than \$2,000 to, your family's (includes spouse, minor children living at home) gross income in the preceding calendar year. Identify who receives the income from each enterprise.	What is the nature of your immediate family's association with each? The value of the financial interest need not be reported.
	i d
Waterford all Svinte	wife job
- Geortnent	rental property
6. List any enterprise in which you, your spouse or minor children living at home control more than ten percent of the capital or stock. Identify who has the ownership interest in each enterprise.	What is the nature of your immediate family's association with each?
apartment 4 play	We both jointly own
State of South Dakota ) SS. County of Minehala	Verification (1)
I have reviewed paragraphs 1 through 6 of the Information Regardant of Financial Interest and certify that the information remy financial interests for the preceding calendar year.  (Signed)	
(signed)_	20.50
(Seal)	2002.
Revised 1997 SAN NOTARY PURIL	My commission expires: Officer Administering Oath

#### Information Regarding Statement of Financial Interest

- 1. Who Files. This form is to be completed by all candidates filing nominating petitions for United States Senator, United States House of Representatives, Governor, Circuit Court Judge, State Legislature, County Commissioner, School Board Member in a school district with total enrollment of more than two thousand students, or commissioner, council member, or mayor in any first class municipality; all convention nominees for Lieutenant Governor, State Treasurer, Attorney General, Secretary of State, State Auditor, Public Utilities Commissioner and Commissioner of School and Public Lands; and all Supreme Court Justices seeking retention.
- 2. Deadline. It must be filed in the office where your nominating petition or convention nomination certification was filed within 15 days after that filing.
- 3. Nature of your association in #5 and #6 means if you were an employee, officer, director, associate, partner, stockholder, member, proprietor, served in an advisory or consulting capacity, or provided professional services. This must be provided for each enterprise which is listed.
- 4. Definitions of Terms (SDCL 12-25-27)
  - (1) "Any member of his immediate family," a spouse or minor children living at home;
  - (2) "Close economic interest," any enterprise that, in the calendar year preceding filing of a statement under any of §§ 12-25-28 to 12-25-30, inclusive, contributes either more than ten percent of or more than two thousand dollars, to the gross income of the family which shall include the individual required to file the statement and any member of his immediate family, or an enterprise in which such individual or any member of his immediate family control more than ten percent of the capital or stock;
  - (3) "Enterprise," any business or economic relationship [where you were an employee, officer, director, associate, shareholder, member, partner or proprietor];
  - (4) "Statement of financial interest," a description of the type of financial activity and the nature of the association with the enterprise as provided in subdivision (1) of this section.
- 5. Penalty (SDCL 12-25-28) Failure to file the statement of financial interest is a petty offense. An intentional violation is a Class 2 misdemeanor.
- 6. Conflict of Interest Provisions. State officeholders and local government officials are prohibited (with limited exceptions) from doing business with state and local government. Corporations, partnerships and other business entities in which the officeholder holds some interest or control are likewise precluded from doing governmental business. Spouses and other family members of the officeholder may be similarly prohibited (although no definitive court decision has yet been issued). A prohibited contract may be declared void or subject the officeholder to criminal sanctions. Candidates should review all personal and family financial interests accordingly and contact a lawyer with questions.
  - a) Legislators (Art. III. § 12) This provision of the State Constitution prohibits a legislator from having any interest, direct or indirect, in a contract with the State or county authorized or funded by any law enacted during the legislator's term or one year thereafter.
  - b) State officers (SDCL 5-23-14.2) This statute prohibits any state officer from being interested in any state contract which the officer has the supervisory power to approve, award or administer.
  - c) <u>Local government officers (SDCL §§ 6-1-1, 6-1-2, 3-16-7)</u> With limited exceptions local governmental officers, appointed and elected, cannot be interested, directly or indirectly, in contracts with the governmental entity they serve as officers. Contracts that are excepted are detailed in SDCL 6-1-2.